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ACRO

Criminal Records Office

Information Sharing Agreement

Between

**National Police Chiefs' Council
ACRO Criminal Records Office**

And

Office of Communication (OFCOM)



ACRO Criminal Records Office



Summary Sheet

Freedom of Information Act Publication Scheme	
Security Classification (GSC)	OFFICIAL
GSC – Handling Conditions	Baseline Official
Publication Scheme Y/N	Yes
Title	A purpose specific Information Sharing Agreement between ACRO Criminal Records Office (ACRO), acting on behalf of the National Police Chiefs' Council (NPCC), and Office of Communication (OFCOM).
Version	1.2
Summary	<p><i>Services</i></p> <p>This Information Sharing Agreement (hereafter referred to as the Agreement) formalises the arrangements for the ACRO Criminal Records Office (ACRO), acting on behalf of the National Police Chiefs' Council (NPCC), to provide Office of Communication (OFCOM) with access to relevant information held on the Police National Computer (PNC), specifically convictions, cautions, reprimands and final warnings for enforcement purposes in relation to prosecutions brought by OFCOM for recordable and non-recordable offences. In addition, this Agreement allows for the recording of details of individuals prosecuted by OFCOM under the Wireless Telegraphy Act 2006, The Radio Equipment Regulations 2017 and the Electromagnetic Compatibility Regulations 2016, and other recordable offences where OFCOM act as the Prosecuting Agent on to the PNC for the purposes of investigations into offences committed in connection with the management, licensing and protection of the radio spectrum and the prevention of illegal broadcasting.</p>
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Version Record

Version No.	Date	Amendments Made	Authorisation
1.0	04/02/2019	<i>Annual Renewal, numerous amendments due to changes in process, GDPR and DPA 2018</i>	AMdB
1.1	12/02/2019	Amendments follow review by IM and DPO	AMdB
1.2	03/06/2019	Amendments requested by OFCOM	AMdB

1. Partners to the Agreement

1.1. ACRO Criminal Records Office

PO Box 481
Fareham
PO14 9FS

1.2. Office of Communication (OFCOM)

Riverside House
2a Southwark Bridge Road
London
SE1 9HA

2. Purpose and Background of the Agreement

2.1. Purpose

- 2.1.1. The purpose of this Agreement is to formalise the arrangements for the ACRO Criminal Records Office (ACRO), acting on behalf of the National Police Chiefs' Council (NPCC), to provide OFCOM with access to relevant information held on the Police National Computer (PNC), specifically convictions, adult cautions, youth cautions, reprimands and final warnings for enforcement purposes in relation to prosecutions brought by OFCOM for recordable and non-recordable offences.
- 2.1.2. This Agreement also formalises the arrangements for ACRO to record criminal offences of individuals prosecuted by OFCOM under the Wireless Telegraphy Act 2006, The Radio Equipment Regulations 2017 and the Electromagnetic Compatibility Regulations 2016 and other recordable offences where OFCOM act as the Prosecuting Agent on to the PNC. In so doing, ACRO will provide the necessary prints from the PNC to support relevant judicial processes¹.
- 2.1.3. OFCOM and ACRO acknowledge that their roles in relation to the matters covered by this Agreement may involve processing personal data. They consider that they would each be doing so on their own accounts and for the purposes of their own regulatory obligations as a data controller, rather than as a processor of that data for each other. They will each be responsible for complying with their own obligations under relevant data protection legislation.
- 2.1.4. This Agreement will be used to assist in ensuring that:
- Information is shared in a secure, confidential manner with designated points of contact
 - Information is shared only on a 'need to know' basis
 - There are clear procedures to be followed with regard to information sharing
 - Information will only be used for the reason(s) it has been obtained
 - Data quality is maintained and errors are rectified without undue delay
 - Lawful and necessary reuse does not compromise either party, and
 - Subject information rights are observed without undue prejudice to the lawful purpose of either party

2.2. Background

- 2.2.1. ACRO is a national police unit under the NPCC working for safer communities. ACRO provides access to information held on the PNC to support the criminal justice work of some non-police prosecuting agencies; and assist safeguarding processes conducted by relevant agencies.
- 2.2.2. ACRO is the national police unit responsible for exchanging criminal conviction information between the UK and other countries.

¹ The practice of providing copies of a defendant's past record is well established within the work of Non Police Prosecuting Agencies.

2.2.3. The Communications Act 2003 imposes a duty on OFCOM to secure the optimal use of the radio spectrum. This includes the licensing of radio apparatus, preventing interference and enforcement related to these activities. The Wireless Telegraphy Act 2006 provides a criminal regime by which to discharge these duties, in conjunction with the Electromagnetic Compatibility Regulations 2016 and the Radio Equipment Regulations 2017, for electrical or electronic products. These functions may require OFCOM to undertake law enforcement processing of personal data falling within the scope of Part 3 of the Data Protection Act 2018.

3. Powers

3.1. OFCOM Legal Basis

3.1.1. OFCOM is the regulator with statutory powers to investigate and prosecute offences under Wireless Telegraphy Act 2006, Radio Equipment Regulations 2017 and Electromagnetic Compatibility Regulations 2016. It is a competent authority for the purposes of law enforcement processing to the extent of these powers.

3.1.2. For the purposes of this part, “the law enforcement purposes” are the purposes of the prevention, investigation, detection or prosecution of criminal penalties, including the safeguarding against the prevent of threats to public safety.

3.1.3. OFCOM investigations and prosecutions activities are concerned with the following offences:

Wireless Telegraphy Act 2006:

- 8 Licenses & exemptions
- 11(3) Surrender of Licence
- 35(2) Unauthorised use etc. of wireless telegraphy station or apparatus
- 36(1) Keeping apparatus available for unauthorised use
- 37(1) Allowing premises to be used for illegal broadcasts
- 38(2) Facilitating unauthorised broadcasting
- 46(1) Contravening Regulations made by OFCOM under s.45
- 47(1) Misleading messages
- 48(1) Interception and disclosure of messages
- Part 5 Broadcasting from ships or aircrafts
- 51(4) Apparatus in vehicles
- 53(2) Surrender of authority
- 58(1) Contravening notice under section 55
- 58(4) Contravening notice under section 56
- 60(1) Obstruction and failure to assist
- 66(1) Restriction orders
- 98(1) Obstruction and failure to assist under s.97 (Powers of entry and search)
- 100(1) Obstruction in the exercise of the power conferred under s.99(3)

Radio Equipment Regulations 2017 and Electromagnetic Compatibility Regulations 2016:

The offences under these regulations are aligned with one another, the offence is

- 61 Fail to comply with the regulations
 - To contravene or fail to comply with any requirements of regulations
 - To contravene or fail to comply with any requirements of a withdrawal or recall notice served on that person by an enforcing authority under these Regulations

3.1.4. OFCOM is permitted to process special category personal data for preventing or detecting unlawful acts when strictly necessary to meet the purpose and when the processing conditions of schedule 8 of the DPA 2018 are met. The condition(s) used for this agreement are:

- A function conferred by under any rule of law, necessary in the substantial public interest

3.2. ACRO Legal Basis

3.2.1. Section 22A of the Police Act 1996 enables police forces to discharge functions of officers and staff where it is in the interests of efficiency or effectiveness of their own and other police force areas. Schedule 7 paragraph 17 of the DPA 2018 establishes bodies created under section 22A of the Police Act 1996 as Competent Authorities.

3.2.2. ACRO is established through the National Police Collaboration Agreement relating to the ACRO Criminal Records Office (ACRO) under Section 22A of the Police Act 1996. This agreement gives ACRO the authority to act on behalf of the chief constables to provide PNC enquiry, update and disclosure services to non-police agencies and non-police prosecuting agencies.

3.2.3. ACRO have been delegated responsibility for managing the UK Central Authority for the Exchange of Criminal Records. As such, ACRO discharge the UK's responsibilities under EU Council Decisions 2009/315/JHA on the exchange of information extracted from the criminal record and 2009/316/JHA European Criminal Record Information System (ECRIS) plus EU Framework Decision 93.

3.2.4. ACRO also exchange conviction information with countries outside of the EU via Interpol channels.

3.3. Code of Practice for the Management of Police Information

3.3.1. This agreement outlines the need for the Police and Partners to work together to share information in line with the Policing Purpose as set out in the Management of Police Information Code of Practice. In line with section 39A of the Police Act 1996, Chief Officers are required to give "due regard" to this statutory code. The Policing Purposes summarise the statutory and common law duties of the police service for which personal data may be processed and are described as:

- Protecting life and property;
- Preserving order;
- Preventing the commission of offences;
- Bringing offenders to justice;

- Any duty or responsibility of the police arising from common or statute law.

3.4. Human Rights Act 1998

3.4.1. Under Article 8 of the Human Rights Act 1998, all data subjects have a right to a respect for their private and family life, home and correspondence.

3.4.2. Interference with this right may be justified where lawful and necessary in a democratic society in the interests of national security, public safety or the economic well-being of the country, for the prevention of disorder or crime, for the protection of health or morals, or for the protection of the rights and freedoms of others. Lawful intrusion by the police service requires proportionate use of personal data for any of the policing purposes.

3.5. Common Law Duty of Confidentiality

3.5.1. This Agreement takes into account the common law duty of confidentiality which applies where information has a necessary quality of confidence or where information is imparted in circumstances giving rise to an obligation of confidence that is either explicit or implied.

Where the duty applies, disclosure will be justified only by:

- consent
- a legal duty
- a public interest through consent, legal duty and the public interest or for the safeguarding of one or more people.

4. Process

4.1. Overview

4.1.1. ACRO, in response to requests made by OFCOM, will conduct PNC searches and provide a PNC print to meet the information needs of OFCOM. ACRO will also create an Arrest Summons Number (ASN) on the PNC in relation to the impending prosecution.

4.1.2. The PNC data will comprise of:

- A Disclosure PNC print. The personal data disclosed under this print includes (if available): name, date of birth, birth place, sex (not colour), address, occupation, aliases (including DVLA name) and alias date of births. The home address that is printed in the ID part of the print is decided by the following rules:
 - If there is more than one home address on the record, the most recent address is used,
 - If there is no home address present, the most recent 'no fixed abode' address type will be used,
 - If neither of the above address types are present, the most recent 'Other' address is printed.
- A Prosecutors PNC print. The personal data disclosed under this print includes (if available): name, date of birth, birth place, address, driver number, aliases (including DVLA name) and alias date of births. The home address that is printed in the ID part of the print is decided by the following rules:
 - If there is more than one home address on the record, the most recent address is used,
 - If there is no home address present, the most recent 'no fixed abode' address type will be used,
 - If neither of the above address types are present, the most recent 'Other' address is printed.

4.1.3. In the event that no convictions are found on the PNC or the subject of the enquiry is 'No Trace', a response stating 'no relevant information held on PNC in relation to the subject of your enquiry' will be sent to OFCOM. This response will also indicate that in the absence of fingerprints the identity of the subject cannot be verified. Similar wording will apply to 'Trace' returns i.e. when a record is found and a PNC print provided.

4.1.4. OFCOM caseworker will review all referred information and may ask for additional information to aid decision making.

4.1.5. Where an offence has been committed resulting in a conviction in court, the information will be recorded on the PNC as required by The National Police Records (Recordable Offences) Regulations 2000 (SI 2000/1139), this will be done by ACRO.

4.2. PNC Searches

4.2.1. Requests for a PNC search are to be made by OFCOM on a 'Names Enquiry' form which will be supplied by ACRO separately.

4.2.2. The following personal data² is to be provided in support of each request:

- First name
- Any middle names
- Surname / family name
- Date of Birth (dd/mm/yyyy)
- Any alias details (names, DoB)
- Place of birth (where known)
- Address
- OFCOM case reference

4.3. Additional Information Requirements

4.3.1. Other personal data which OFCOM caseworker may be aware of e.g. National Insurance Number, passport or driving licence number etc. can be provided to aid identification. This additional information will be used to confirm identity and is of particular value where the name or other personal details are identical on the PNC.

4.3.2. It is not necessary to obtain the additional information as a matter of course particularly if it is not currently recorded as part of OFCOM normal administrative procedures.

4.3.3. If required, ACRO will seek additional information from OFCOM to verify the identity of the subject of the request via the following secure OFCOM mailbox:

4.3.4. No other mailbox is to be used unless this Agreement is updated to reflect a change of 'nominated' point of contact for OFCOM.

4.3.5. Where appropriate, OFCOM will make contact with the subject of the enquiry to seek the additional information required by ACRO.

² Personal data is defined by Data Protection Legislation as information that relates to an identified or identifiable individual.

5. Submission

5.1. Names Enquiry Forms

5.1.1. Completed 'Names Enquiry' forms are to be sent via secure email to the following email address:

5.1.2. Erroneous/incomplete 'Names Enquiry' forms will not be processed. They will be returned to OFCOM as invalid and a reason provided.

5.2. Telephone Requests

5.2.1. Requests may be made by telephone in cases of emergency and 'Names Enquiry' form submitted retrospectively. Such requests can only be made by a limited number of OFCOM staff.

6. Provision of Information

6.1. Response to a PNC 'Names' Search

6.1.1. In response to a formal application, written or verbal, ACRO will provide OFCOM with the following information derived from the PNC in response to applications made in accordance with this Agreement:

- All convictions, cautions, warnings and reprimands.
- Additional information as deemed relevant by ACRO where there is a pressing social need to do so (via a Force Disclosure Unit as appropriate).

6.1.2. It should be noted that the service provided under this Agreement only covers the provision of certain PNC prints depending on the request submitted by OFCOM. The content of each type of print is defined in the list of PNC Printer Transactions which will be supplied by ACRO separately.

6.1.3. If OFCOM has a secondary query or wish to follow-up on the PNC information provided, a formal request is to be made through the nominated ACRO mailbox: ****

6.1.4. OFCOM will need to liaise directly with forces to explain specific information regarding the offending revealed in the prints provided under this Agreement or to gain access to statements, interviews under caution etc. relating to any previous offending. Forces may apply their own charges in respect of any information they disclose.

7. Recording Convictions on the PNC

7.1. Creating Records on the PNC

- 7.1.1. The process for creating records and assigning Arrest Summons Numbers (ASN) to prosecutions brought by Non Police Prosecuting Agencies (NPPA) is contained in the 'National Standard for Recording NPPA Prosecutions on the Police National Computer' (the 'National Standard').
- 7.1.2. OFCOM undertakes to adhere to the requirements of the National Standard including the requirement to complete and submit the required NPA form in the agreed format together with a copy of the relevant information to the court in order for a record to be created on the PNC. Court dates are to be provided if known at the time of submission.
- 7.1.3. OFCOM will supply a duly completed NPA form in respect of every person for whom a PNC record is to be created. An ASN will be provided by ACRO in return. A delay in the process is likely to occur if the information provided on the NPA form by OFCOM is incomplete or inaccurate.
- 7.1.4. As part of the record creation service provided by ACRO, OFCOM will be sent a PNC multi print for each ASN created. The multi prints consists of a Prosecutor's Print plus a Court/Defence/Probation Print. The content of each type of print is defined in the list of PNC Printer Transactions which will be supplied by ACRO separately.
- 7.1.5. Covering emails from ACRO under which the PNC prints will be returned to OFCOM will state that in the absence of fingerprints the subject's identity cannot be verified.
- 7.1.6. When a prosecution by OFCOM leads to a court appearance, ACRO will update the PNC with the required details of any adjournment or disposal. These details are provided to ACRO through automated processes when the prosecution occurs at a Magistrates Court. However, these processes do not extend to prosecutions through the Crown Court and therefore OFCOM is to advise ACRO of any adjournments or disposal handed down by the court using the form which will be supplied by ACRO separately.
- 7.1.7. If, once a PNC record has been created by ACRO and an ASN issued to OFCOM, a decision is taken to deal with the offender by way of an 'Out of Court disposal' or proceedings are otherwise concluded by way of a discontinuance or 'No Further Action (NFA)' disposal, for instance on the advice of the Crown Prosecution Service (CPS), OFCOM will inform ACRO as soon as reasonably practical in order that the PNC record can be updated.

8. Information Security

8.1. Government Security Classification Policy

8.1.1. Parties to this Agreement are to ensure that personal data is handled, stored and processed at OFFICIAL level as defined by the Government Security Classification Policy (GSCP) and may carry the security marking OFFICIAL – SENSITIVE, in which case specific handling conditions will be provided.

8.1.2. Documents marked using GSCP will describe specific handling conditions to mitigate the risks necessitating such marking. These may include:

- Any specific limitations on dissemination, circulation or intended audience
- Any exception to consult should reuse be anticipated
- Additional secure handling and disposal requirements

8.2. Security Standards

8.2.1. It is expected that partners of this agreement will have in place baseline security measures compliant with or be equivalent to BS17799: 2005 and ISO/IEC 27001:2013 and HMG standards in relation to information security. Partners are at liberty to request copies of each other's:

- Information Security Policy
- Records Management Policy
- Data Protection Policy

8.2.2. Each partner will implement and maintain appropriate technical and organisational measures to protect personal data against unauthorised or unlawful processing and against accidental loss or destruction of, or damage.

8.2.3. Each partner will ensure that employees or agents who have access to personal data have undergone appropriate Data Protection training to be competent to comply with the terms of this agreement.

8.3. Volumes

8.3.1. Is it estimated that for the year 2019-20, OFCOM will request c20 PNC checks, and c20 PNC records to be created.

8.3.2. OFCOM will advise ACRO if the number of PNC checks is likely to be exceeded.

8.3.3. ACRO will audit requests against the lawful basis and these volumes to ensure that personal data is not being disclosed contrary to the lawful basis and that the agreement is fit to meet any increase in lawful demand.

8.4. Transmission

8.4.1. With the exception of telephone requests in cases of emergency, contact between ACRO and OFCOM should only be made over a secure communication network and care must be taken where personal information is shared or discussed.

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- 8.4.2. Emails must not be password protected, contain personal data or contain the descriptor 'Private and Confidential' in subject field, or be over 6MB in file size.
- 8.4.3. OFCOM reference number must be included in the subject field of every email sent to ACRO.
- 8.4.4. Where email transmission is unavailable, records may be transferred by post via encrypted disk, where encryption meets current industry standards.

8.5. Retention and disposal

- 8.5.1. Information shared under this Agreement will be securely stored and disposed by secure means when no longer required for the purpose for which it is provided as per each parties Information Security Policy, unless otherwise agreed in a specific case, and legally permitted. Each party will determine and maintain their own retention schedule.

9. Information Management

9.1. Accuracy of Personal Data

- 9.1.1. The parties will take every reasonable step to ensure that personal data that is inaccurate, having regard to the purpose for which it is processed, is erased or rectified without delay and will notify the partners to this agreement of the erasure or rectification.
- 9.1.2. Where a partner rectifies personal data, it must notify any competent authority from which the inaccurate personal data originated, and should notify any other data of the correction, unless a compelling reason for not doing so exists.
- 9.1.3. It is the responsibility of all parties to ensure that the information is of sufficient quality for its intended purpose, bearing in mind accuracy, validity, reliability, timeliness, relevance and completeness.

9.2. Accuracy Disputes

- 9.2.1. Should the validity of the information disclosed be disputed by OFCOM or a third party, OFCOM will contact ACRO to determine a suitable method to resolve the dispute.

9.3. Turnaround

- 9.3.1. This Agreement requires a 5 working day turnaround on all cases submitted to ACRO except where ACRO requires further information from OFCOM to make a positive match. In these circumstances, ACRO will process the enquiry when the required information has been supplied by OFCOM.
- 9.3.2. Responses to requests for additional information must be made by OFCOM within 10 working days. If ACRO do not receive the information, the request will be closed.
- 9.3.3. Information will be exchanged without undue delay. In the event of a delay outside of either parties' control, this will be informed to the other party as soon as practical.
- 9.3.4. An exception to the 5 working day turnaround are those occasions where the conviction data is held on microfiche in the national police microfiche library at Hendon. In these cases, ACRO will provide a response when the required information has been supplied by the custodians of the microfiche.
- 9.3.5. In some circumstances OFCOM may require information urgently, for example, due to ongoing court proceedings. In these circumstances ACRO will endeavour to complete the check more quickly as agreed with OFCOM. Such requests will be treated as an exception, and will be considered on a case by case basis.
- 9.3.6. ACRO will complete/update a record on the PNC within 3 working days of the receipt of a completed NPA form from OFCOM in respect of every person for whom a PNC record is to be created.

9.4. Quality Assurance and Control

- 9.4.1. ACRO employ strict quality control procedures and staff undertaking this work are all appropriately trained.

9.4.2. On a monthly basis ACRO can, if required, provide regular management information to OFCOM including:

- Number of PNC 'Names Enquiry' forms received
- Number of PNC Disclosure Prints provided
- Details of any cases that fall outside agreed 'Service Levels'
- Number of issues and/or disputes

10. Complaints and Breaches

10.1. Complaints

10.1.1. Complaints from data subjects, or their representatives, regarding information held by any of the parties to this agreement will be investigated first by the organisation receiving the complaint. Each data controller will consult with the other parties where appropriate.

10.2. Breaches

10.2.1. Should information shared under this agreement be disclosed outside of this agreement, lost or stolen, then it will be the responsibility of the respective data controller to report this immediately and to follow their security incident reporting procedures.

10.2.2. All security incidents and breaches involving police data shared under this agreement must be reported immediately to the SPOCs designated in this agreement.

11. Information Rights

11.1. Freedom of Information Act 2000

11.1.1. Where a party to this agreement is subject to the requirements of the Freedom of Information Act 2000 (FOIA) and the Environmental Information Regulations 2004 (EIR) all parties shall assist and co-operate with the other to enable the other party to comply with its obligations under FOIA and the EIR. This is in line with the requirements laid out in the Lord Chancellor's Code of Practice issued under section 45 of FOIA.

11.1.2. Where a party receives a request for information in relation to the information which it received from another party, it shall (and shall procure that its sub-contractors shall):

- Contact the other party within two working days after receipt and in any event within two working days receiving a Request for Information;
- The originating authority will provide all necessary assistance as reasonably requested by the party to enable the other party to respond to a request for Information within the time for compliance set out in Section 10 of the FOIA or Regulation 5 of the EIR.

11.1.3. On receipt of a request made under the provisions of the Freedom of Information Act 2000 in respect of information provided by or relating to the information provided by ACRO, OFCOM representatives is to ascertain whether the NPCC wishes to propose the engagement of any exemptions via the NPCC FOI mailbox:
npcc.foi.request@cru.pnn.police.uk

11.1.4. The decision as to whether to disclose the information remains with OFCOM, but will be made with reference to any proposals made by the NPCC.

11.2. Data Subject Information Rights

11.2.1. For the purpose of either party handling information rights under Chapter III of both the DPA 2018 and GDPR, it is necessary to ensure neither party causes prejudice to the lawful activity of the other by releasing personal data disclosed by one party to the other, or indication by the method or content of their response that such data exists. The parties agree that consultation between the parties is necessary to identify relevant prejudice and ensure it is both substantial and proportionate to the exemption which is to be applied.

11.2.2. A relevant request requiring consultation includes those requests exercised under the rights to access, erasure, rectification, restriction or objection which requires consideration of data provide to one party by the other.

11.2.3. Consultation will occur without undue delay and no later than 72 hours after identification of the relevant request.

11.2.4. Where OFCOM receives a relevant request, OFCOM representative is contact the ACRO Data Protection Officer at: dataprotectionofficer@acro.pnn.police.uk to ascertain whether ACRO wishes to propose to OFCOM that they apply any relevant exemptions when responding to the applicant.

11.2.5. Where ACRO receives a relevant request, the ACRO Data Protection Officer is to contact OFCOM representatives to ascertain whether OFCOM wishes to propose to ACRO that they apply any relevant exemptions prior to responding to the applicant.

11.2.6. Both parties will otherwise handle such requests in accordance with the DPA 2018 and GDPR.

11.3. Fair processing and privacy notices

11.3.1. Each partner will take all reasonable steps to comply with the obligation to notify the data subject of the processing activity, unless an exemption applies.

11.3.2. ACRO will maintain a general notice, describing the mandatory privacy information at Articles 13 and 14 of GDPR and s44(1) and (2) DPA 2018. ACRO will not contact the data subjects directly with this privacy information on the basis that OFCOM has already taken steps to inform the individual, or has exercised an appropriate exemption to article 13 or 14, or exercised an exemption at s44(4) DPA 2018.

11.3.3. OFCOM will take all reasonable steps to inform the data subject that checks will be conducted through ACRO, except where doing so would prejudice the purpose of the check in a way which would allow use of an exemption to this obligation. Where OFCOM does not provide this information to the data subject, ACRO agrees to rely upon the correct use of an exemption by OFCOM and will not contact the data subject to avoid the same prejudice.

12. Reuse of Personal Data Disclosed under this Agreement

12.1. Personal data shall be collected for the specified, explicit and legitimate purposes stated in this document and cannot be further processed in a manner that is incompatible with those purposes without the written consent of the party that provided the information in the first instance, unless required to by law.

13. Roles and Responsibilities

13.1. Disputes

13.1.1. ACRO and OFCOM will designate Single Points of Contact (SPOC) who will work together to jointly solve problems relating to the sharing of information under this Agreement and act as point of contact in the event of a suspected breach by either party.

- ACRO (UK PNC enquiries and updates):
ACRO Head of Section

- ACRO (International requests):
ACRO Head of Section

- OFCOM:
OFCEM Spectrum Policy Advisor:

13.1.2. Initial contact should be made by email with the subject heading:
FAO ACRO/OFCOM ISA SPOC Ref no: XXXX

13.1.3. The above designated SPOCs will have joint responsibility of resolving all day to day operating issues and initiating the escalation process set out if/when necessary.

13.2. Escalation

13.2.1. In the event that the nominated SPOC cannot agree on a course of action or either party appears not to have met the terms and conditions of this Agreement, the matter should initially be referred jointly to the following:

- ACRO:
Records Management Supervisor

- OFCOM:
OFCEM Head of Enforcement:

13.2.2. Both ACRO and OFCOM SPOCs have a responsibility to create a file in which relevant information and decisions can be recorded. The file should include details of the data accessed and notes of any correspondence, meeting attended, or phone calls made or received relating to this Agreement.

14. Charges

14.1. Price and Rates

14.1.1. OFCOM shall pay ACRO for the provision of services set out in this Agreement and in line with the “Letter of Charges” provided to OFCOM separately and are reviewed annually.

15. Review

15.1. Frequency

15.1.1. This ISA will be reviewed six months after implementation and annually thereafter.

16. Signature

16.1. Undertaking

16.1.1. By signing this Agreement, all signatories accept responsibility for its execution and agree to ensure that staff for whom they are responsible are trained so that requests for information and the process of sharing is sufficient to meet the purpose of this Agreement.

16.1.2. Signatories must ensure compliance will all relevant legislation.

Signed on behalf of ACRO	Signed on behalf of OFCOM
Position Held: Head of ACRO	Position Held: Corporation Secretary
Date: 14/11/2019	Date: 13/11/2019